

# Appendices and Tables

## **Register's Testimony to Congress**

- Testimony before the Subcommittee on Courts, the Internet, and Intellectual Property, Committee on the Judiciary, regarding the CARP (Copyright Arbitration Royalty Panel) Structure and Process (June 13, 2002)
- Testimony before the Senate Committee on the Judiciary regarding sovereign immunity and the protection of intellectual property (February 27, 2002)
- Testimony before the Subcommittee on Courts, the Internet, and Intellectual Property, Committee on the Judiciary, regarding the Digital Millennium Copyright Act, Section 104 Report (December 12 and 13, 2001)

Full text of statements is available on the Copyright Office website: [www.copyright.gov](http://www.copyright.gov)

## **Federal Register Documents Issued**

- Notice and Recordkeeping for Use of Sound Recordings Under Statutory Licenses: Notice requesting written proposals and announcing status conference · 67 FR 59573, September 23, 2002
- Public Performance of Sound Recordings: Definition of a Service; Request for comments · 67 FR 58550, September 17, 2002
- Ascertainment of Controversy for the 2000 Cable Royalty Funds; Notice with request for comments and notices of intention to participate · 67 FR 55885, August 30, 2002
- Ascertainment of Controversy for the Distribution of the 1999, 2000, and 2001 Digital Audio Recording Royalty Funds; Notice with request for comments and Notices of Intention to Participate · 67 FR 46698, July 16, 2002; correction: 67 FR 47574, July 19, 2002
- Determination of Reasonable Rates and Terms for the Digital Performance of Sound Recordings and Ephemeral Recordings; Final rule · 67 FR 45239, July 8, 2002

- Fees: Final Rule · 67 FR 38003, May 31, 2002
- Filing of Claims for Cable and Satellite Royalties: Waiver of regulation · 67 FR 21176, April 30, 2002
- Notice and Recordkeeping for Use of Sound Recordings Under Statutory License: Announcement of public roundtable · 67 FR 18148, April 15, 2002
- Copyright Restoration of Works in Accordance with the Uruguay Round Agreements Act; Notification pertaining to Notices of Intent to Enforce Restored Copyrights: Notification of request to retract prior filings of notices of intent to enforce restored copyrights; correction · 67 FR 15417, April 1, 2002
- Noncommercial Educational Broadcasting Compulsory License: Announcement of voluntary negotiation period, precontroversy discovery schedule, and request for Notices of Intent to Participate · 67 FR 15414, April 1, 2002
- Notice and Recordkeeping for Use of Sound Recordings under Statutory License: Extension of comment period · 67 FR 10652, March 8, 2002
- Registration of Claims to Copyright: Group Registration of Contributions to Periodicals; Final Rule · 67 FR 10329, March 7, 2002
- Notice and Recordkeeping for Use of Sound Recordings Under Statutory License: Notice of proposed rulemaking · 67 FR 5761, February 7, 2002; correction: 67 FR 9045, February 27, 2002
- Filing of Claims for DART Royalty Funds: Waiver of regulation · 67 FR 5213, February 5, 2002
- Copyright Arbitration Royalty Panels: List of Arbitrators · 67 FR 5000, February 1, 2002
- Mechanical and Digital Phonorecord Delivery Compulsory License: Extension of comment period · 67 FR 4694, January 31, 2002
- Digital Performance Right in Sound Recordings and Ephemeral Recordings: Initiation of voluntary negotiation period · 67 FR 4472, January 30, 2002
- Ascertainment of Controversy for the 2000 Satellite Royalty Funds: Request for notices of intention to participate · 67 FR 2912, January 22, 2002
- Distribution of 1993, 1994, 1995, 1996, and 1997 Cable Royalty Funds · 66 FR 66433, December 26, 2001
- Mechanical and Digital Phonorecord Delivery Compulsory License: Request for comment · 66 FR 64783, December 14, 2001

- Disruption or Suspension of Postal or Other Transportation or Communications Services: Determination of general disruption of postal services · 66 FR 63267, December 5, 2001
- Disruption or Suspension of Postal or Other Transportation or Communications Services: Interim regulations; Request for comments · 66 FR 62942, December 4, 2001; correction: 66 FR 63920, December 11, 2001
- Copyright Restoration of Works in Accordance with the Uruguay Round Agreements Act; Notification Pertaining to Notices of Intent to Enforce Restored Copyrights: Notification of request to retract prior filings of notices of intent to enforce restored copyrights · 66 FR 60223, December 3, 2001
- Cost of Living Adjustment for Performance of Musical Compositions by Colleges and Universities: Final Rule · 66 FR 59698, November 30, 2001
- Ascertainment of Controversy for the 2000 and 2001 Satellite Royalty Funds: Suspension of filing deadline and request for comments · 66 FR 58761, November 23, 2001
- Digital Performance Right in Sound Recordings Rate Adjustment Proceedings; Notice of inquiry and request for notices of intention to participate · 66 FR 58180, November 20, 2001
- Distribution of 1998 and 1999 Cable Royalty Funds; Request for comments and schedule · 66 FR 58179, November 20, 2001
- Ascertainment of Controversy for the 2000 and 2001 Satellite Royalty Funds: Notice with request for comments and notices of intention to participate · 66 FR 55789, October 30, 2001
- Determination of Reasonable Rates and Terms for the Digital Performance of Sound Recordings: Proposed rule; extension of comment period · 66 FR 51617, October 10, 2001
- Ascertainment of Controversy for the 1999 Satellite Royalty Funds: Notice with request for comments and notices of intention to participate · 66 FR 50220, October 2, 2001
- Ascertainment of Controversy for the 1999 Cable Royalty Funds: Notice with request for comments and notices of intention to participate · 66 FR 50219, October 2, 2001

### Registrations, 1870–2002

Year(s)	Total	Year	Total	Year	Total	Year	Total
1790–1869 <sup>1</sup>	150,000	1903	99,122	1937	156,930	1971	329,696
1870	5,600	1904	104,431	1938	168,663	1972	344,574
1871	12,688	1905	114,747	1939	175,450	1973	353,648
1872	14,164	1906	118,799	1940	179,467	1974	372,832
1873	15,352	1907	124,814	1941	180,647	1975	401,274
1874	16,283	1908	120,657	1942	182,232	1976	410,969
1875	16,194	1909	121,141	1943	160,789	1976	108,762 <sup>2</sup>
1876	15,392	1910	109,309	1944	169,269	1977	452,702
1877	16,082	1911	115,955	1945	178,848	1978	331,942
1878	16,290	1912	121,824	1946	202,144	1979	429,004
1879	18,528	1913	120,413	1947	230,215	1980	464,743
1880	20,993	1914	124,213	1948	238,121	1981	471,178
1881	21,256	1915	116,276	1949	201,190	1982	468,149
1882	23,141	1916	117,202	1950	210,564	1983	488,256
1883	25,892	1917	112,561	1951	200,354	1984	502,628
1884	27,727	1918	107,436	1952	203,705	1985	539,165
1885	28,748	1919	113,771	1953	218,506	1986	560,212
1886	31,638	1920	127,342	1954	222,665	1987	581,276
1887	35,467	1921	136,765	1955	224,732	1988	565,801
1888	38,907	1922	140,734	1956	224,908	1989	611,328
1889	41,297	1923	151,087	1957	225,807	1990	643,602
1890	43,098	1924	164,710	1958	238,935	1991	663,684
1891	49,197	1925	167,863	1959	241,735	1992	606,253
1892	54,741	1926	180,179	1960	243,926	1993	604,894
1893	58,957	1927	186,856	1961	247,014	1994	530,332
1894	62,764	1928	196,715	1962	254,776	1995	609,195
1895	67,578	1929	164,666	1963	264,845	1996	550,422
1896	72,482	1930	175,125	1964	278,987	1997	569,226
1897	75,035	1931	167,107	1965	293,617	1998	558,645
1898	75,634	1932	153,710	1966	286,866	1999	594,501
1899	81,416	1933	139,361	1967	294,406	2000	515,612
1900	95,573	1934	141,217	1968	303,451	2001	601,659
1901	93,299	1935	144,439	1969	301,258	2002	521,041
1902	93,891	1936	159,268	1970	316,466	<b>Total</b>	<b>30,253,812</b>

1. Estimated registrations made in the offices of the Clerks of the District Courts (source: pamphlet entitled *Records in the Copyright Office Deposited by the United States District Courts Covering the Period 1790–1870*, by Martin A. Roberts, Chief Assistant Librarian, Library of Congress, 1939).

2. Registrations made July 1, 1976, through September 30, 1976, reported separately owing to the statutory change making the fiscal years run from October 1 through September 30 instead of July 1 through June 30.

### Registrations by Subject Matter, Fiscal 2002

Category of Material	Published	Unpublished	Total
Non-dramatic literary works:			
<i>Monographs and computer-related works</i>	146,449	52,776	199,225
<i>Serials:</i>			
Serials (non-group)	49,055	-	49,055
Group Daily Newspapers	1,901	-	1,901
Group Serials	9,886	-	9,886
<b>Total literary works</b>	<b>207,291</b>	<b>52,776</b>	<b>260,067</b>
Works of the performing arts, including musical works, dramatic works, choreography and pantomimes, and motion pictures and filmstrips	46,444	77,649	124,093
Works of the visual arts, including two-dimensional works of fine and graphic art, sculptural works, technical drawings and models, photographs, cartographic works, commercial prints and labels, and works of applied arts	51,003	28,988	79,991
Sound recordings	14,053	23,126	37,179
<b>Total basic registrations</b>	<b>318,791</b>	<b>182,539</b>	<b>501,330</b>
Renewals		19,169	
Mask work registrations		508	
Vessel hull design registrations		34	
<b>Grand total all registrations</b>			<b>521,041</b>
<b>Documents recorded</b>			<b>10,506</b>

### Fees Recorded, Fiscal 2002

Applications for registration	\$15,534,672
Fees for Mask Works	\$32,625
Fees for Vessel Hull Design	\$7,180
Renewals	\$1,001,685
<b>Total</b>	<b>\$16,576,162</b>
Fees for recordation of documents	\$1,291,827
Fees for certifications	\$226,980
Fees for searches	\$200,917
Fees for expedited services	\$1,311,005
Fees for other services	\$196,210
<b>Total</b>	<b>\$3,226,939</b>
<b>Grand Total</b>	<b>\$19,803,101</b>
<b>Fees Applied to the Appropriation, Fiscal 2002</b>	<b>\$19,683,801</b>

### Estimated Value of Materials Transferred, Fiscal 2002

	Registered works transferred to other departments of the Library	Non-registration works transferred to other departments of the Library	Total works transferred to other departments of the Library	Average unit price	Total value of works transferred to other departments of the Library
<b>Books<sup>1</sup></b>	<b>198,745</b>	<b>68,945</b>	<b>267,690</b>		<b>\$12,670,052</b>
<i>Ink print</i>	172,864	32,771	205,635	\$58.41	\$12,011,140
<i>Electronic works (ProQuest)</i>	22,900	33,430	56,330	\$3.71	\$208,984
<i>Microfilm</i>	2,981	2,744	5,725	\$78.59	\$449,928
<b>Serials<sup>2</sup></b>	<b>197,565</b>	<b>313,363</b>	<b>510,928</b>		<b>\$8,052,167</b>
<i>Periodicals</i>	176,985	274,500	451,485	\$28.23	\$7,647,253
<i>Ink print newspapers</i>	18,679	36,000	54,679	\$0.93	\$30,511
<i>Microfilm newspapers</i>	1,901	2,863	4,764	\$78.59	\$374,403
<b>Computer-related works</b>	<b>7,143</b>	<b>2,858</b>	<b>10,001</b>		<b>\$2,774,961</b>
<i>Software</i>	2,500	143	2,643	\$26.08	\$68,929
<i>CD-ROMs</i>	1,429	2,715	4,144	\$653.00	\$2,706,032
<i>Printouts</i>	3,214	—	3,214	indeterminate value	
<b>Motion pictures<sup>3</sup></b>	<b>8,932</b>	<b>448</b>	<b>9,380</b>		<b>\$5,434,520</b>
<i>Videotapes</i>	8,448	417	8,865	\$82.52	\$731,540
<i>Feature films</i>	484	31	515	\$9,132.00	\$4,702,980
<b>Music</b>	<b>45,134</b>	<b>1,262</b>	<b>46,396</b>	\$33.03	<b>\$1,532,460</b>
<b>Dramatic works, choreography, and pantomimes</b>	<b>978</b>	<b>—</b>	<b>978</b>	\$58.41	<b>\$57,125</b>
<b>Other works of the performing arts</b>	<b>199</b>	<b>—</b>	<b>199</b>	\$33.03	<b>\$6,573</b>
<b>Sound recordings</b>	<b>42,344</b>	<b>3,166</b>	<b>45,510</b>	\$13.41	<b>\$610,289</b>
<b>Maps</b>	<b>2,320</b>	<b>11</b>	<b>2,331</b>	\$33.94	<b>\$79,114</b>
<b>Prints, pictures, and works of art</b>	<b>2,994</b>	<b>97</b>	<b>3,091</b>	\$27.43	<b>\$84,786</b>
<b>Total</b>	<b>506,354</b>	<b>390,150</b>	<b>896,504</b>		<b>\$31,302,048</b>

1. 60% of "Books" are selected for the collections; 40% are used for the Library's exchange program.

2. 60% of "Serials" are selected for the collections, except in the case of microfilm newspapers (100% of which are selected).

3. Includes 37 copies selected by the Library under motion picture agreements.

### Non-Fee Information Services to Public, Fiscal 2002

#### Information and Reference Division direct reference services

<i>In person</i>	25,145
<i>By correspondence</i>	46,505
<i>By email</i>	57,263
<i>By telephone</i>	197,949
<b>Total</b>	<b>326,862</b>

#### Office of the General Counsel direct reference services

<i>By correspondence</i>	251
<i>By telephone</i>	1,500
<b>Total</b>	<b>1,751</b>

#### Receiving and Processing Division services

<i>By correspondence</i>	10,416
<i>By telephone</i>	7,624
<b>Total</b>	<b>18,040</b>

#### Licensing Division direct reference services

<i>In person</i>	427
<i>By correspondence</i>	2,500
<i>By telephone</i>	9,024
<b>Total</b>	<b>11,951</b>

#### Grand total direct reference services

**358,604**

### Financial Statement of Royalty Fees for Compulsory Licenses for Secondary Transmission by Cable Systems for Calendar Year 2001

Royalty fees deposited	\$121,631,189.11
Interest income	\$6,671,138.94
Gain on matured securities	\$68,734.85
Transfers in	\$633.42
<b>Total</b>	<b>\$128,371,696.32</b>
<b>Less:</b> Licensing operating costs	\$2,596,859.03
Refunds issued	\$45,484.34
Cost of investments	\$120,658,934.24
Cost of initial investments	\$4,353,731.68
CARP Operating costs	\$399,776.36
Transfers out	\$303,961.67
<b>Total</b>	<b>\$128,358,747.32</b>
Balance as of September 30, 2002	\$12,949.00
<b>Plus:</b> Face amount of securities due	\$120,814,919.71
<b>Less:</b> Pending refunds	\$295.76
<b>Cable royalty fees for calendar year 2001 available for distribution by the Library of Congress</b>	<b>\$120,827,572.95</b>

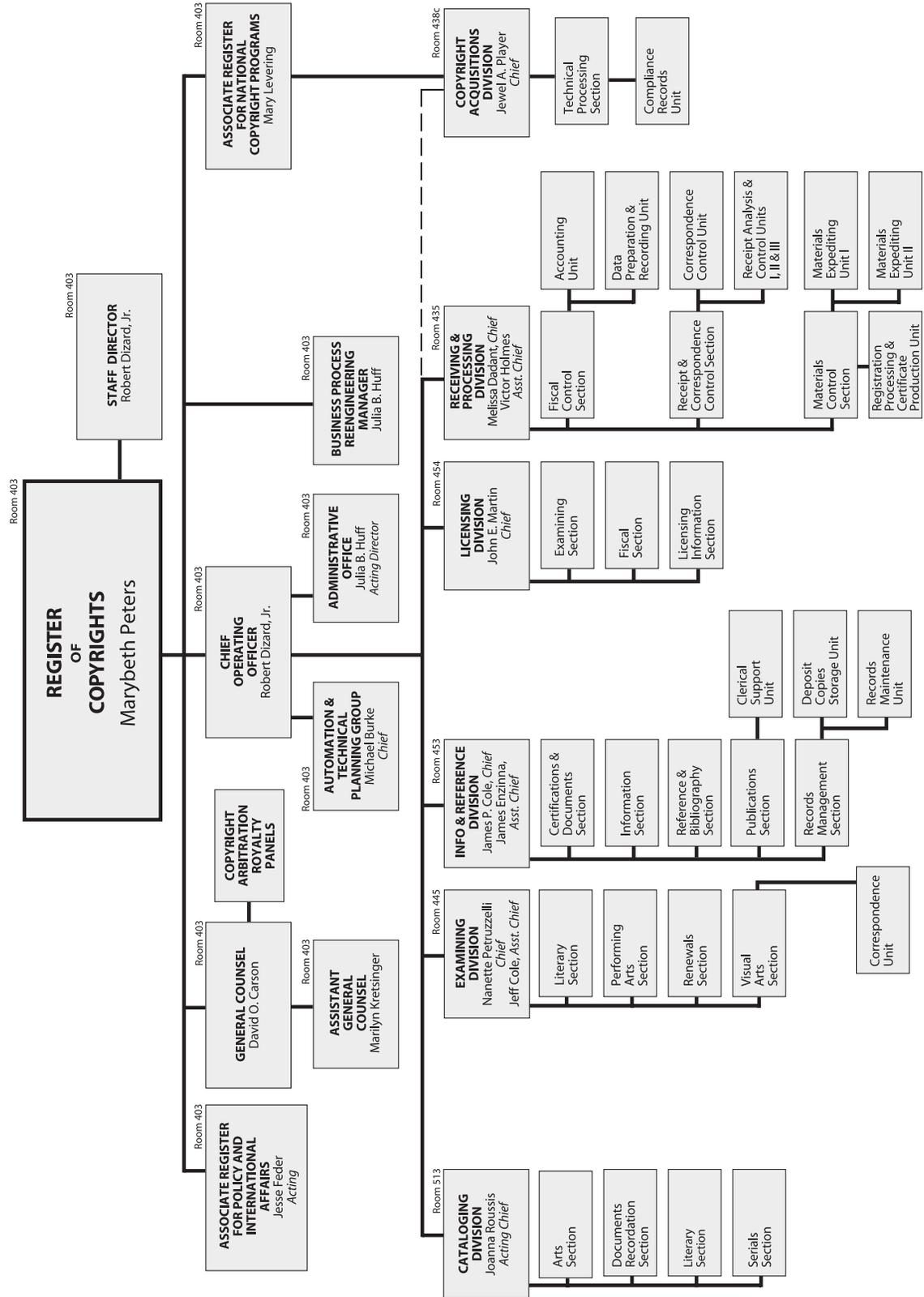
### Financial Statement for Compulsory Licenses for Distribution of Digital Audio Recording Equipment and Media for Calendar Year 2001

Royalty fees deposited	\$3,703,469.97
Interest income	\$156,173.86
Gain on matured securities	\$21,526.28
Transfers in	\$242,868.96
<b>Total</b>	<b>\$4,124,039.07</b>
<b>Less:</b> Licensing operating costs	\$26,197.95
Refunds	\$67,283.75
Cost of investments	\$1,295,192.50
Cost of initial investments	\$106,500.41
CARP operating costs	\$49,464.17
Distribution of fees	\$2,579,377.25
Transfers out	
<b>Total</b>	<b>\$4,124,016.03</b>
Balance as of September 30, 2002	\$23.04
<b>Plus:</b> Face amount of securities due	\$1,296,846.70
<b>Audio Home Recording Act royalty fees for calendar year 2001 available for distribution by the Library of Congress</b>	<b>\$1,296,869.74</b>

### Financial Statement of Royalty Fees for Statutory Licenses for Secondary Transmissions by Satellite Carriers for Calendar Year 2001

Royalty fees deposited	\$74,028,320.30
Interest income	\$3,352,220.17
Gain on matured securities	\$149,166.19
Transferred in	—
<b>Total</b>	<b>\$77,529,706.66</b>
<b>Less:</b> Licensing operating costs	\$7,259.67
Cost of investments	\$75,689,459.84
Cost of initial investments	\$1,761,136.17
CARP operating costs	\$70,858.13
Transfers out	\$129.15
<b>Total</b>	<b>\$77,528,842.96</b>
Balance as of September 30, 2002	\$863.70
<b>Plus:</b> Face amount of securities due	\$75,786,910.92
<b>Satellite carrier royalty fees for calendar year 2001 available for distribution by the Library of Congress</b>	<b>\$75,787,774.62</b>

# Copyright Office



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